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# Multiple-Valued Debiasing for Physically Unclonable Functions and Its Application to Fuzzy Extractors

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# Outline

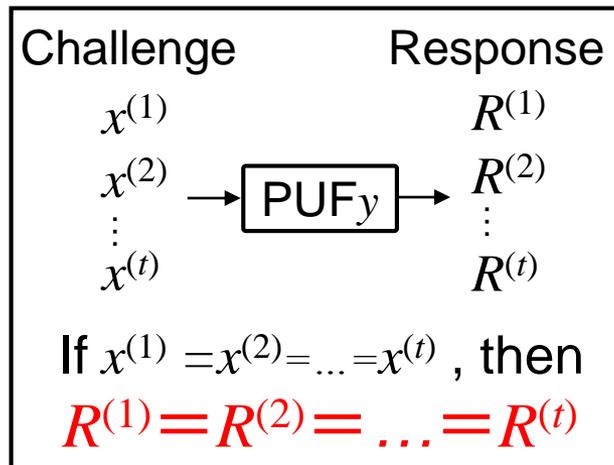
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- Background
- Preliminary and related works
- Proposed Multiple-valued debiasing
- Performance evaluation
- Concluding remarks

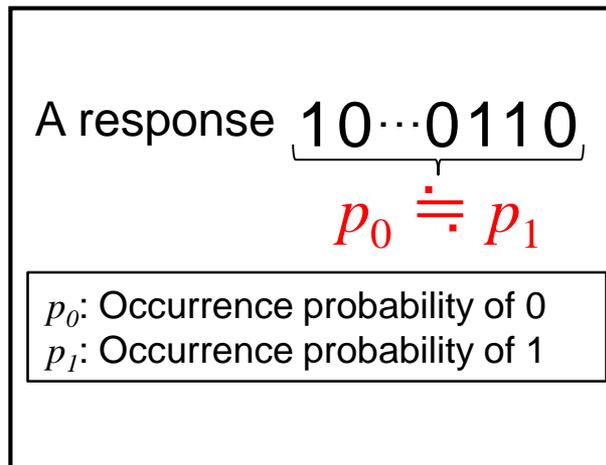
# Background

- High demand for secure LSI authentication
- **Physically unclonable function (PUF)** is expected to prevent counterfeiting LSIs
  - Major features for authentication: Stability and Uniformity

## Stability



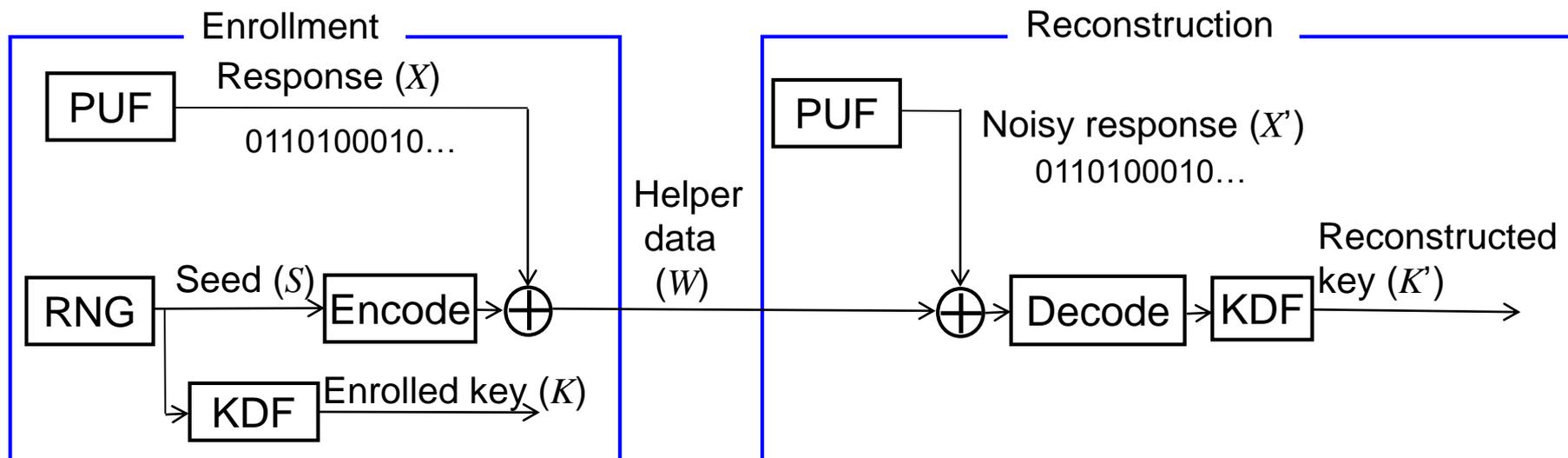
## Uniformity



- What if PUF response is **unstable** and **biased**?

# Unstable and biased PUF response

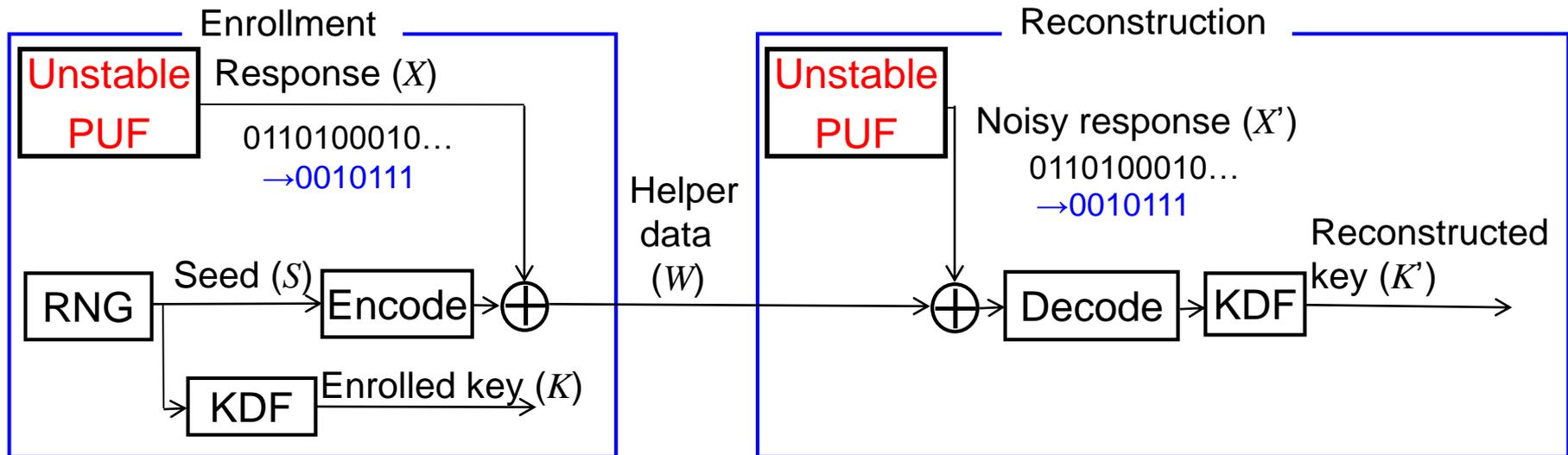
## PUF-based key generation with Fuzzy extractor (FE)



- Problems on **unstable** and **biased** PUF response
  - Helper data leaks information about seed (entropy loss)
  - Difficult to extract entropy from unstable response

# Unstable and biased PUF response

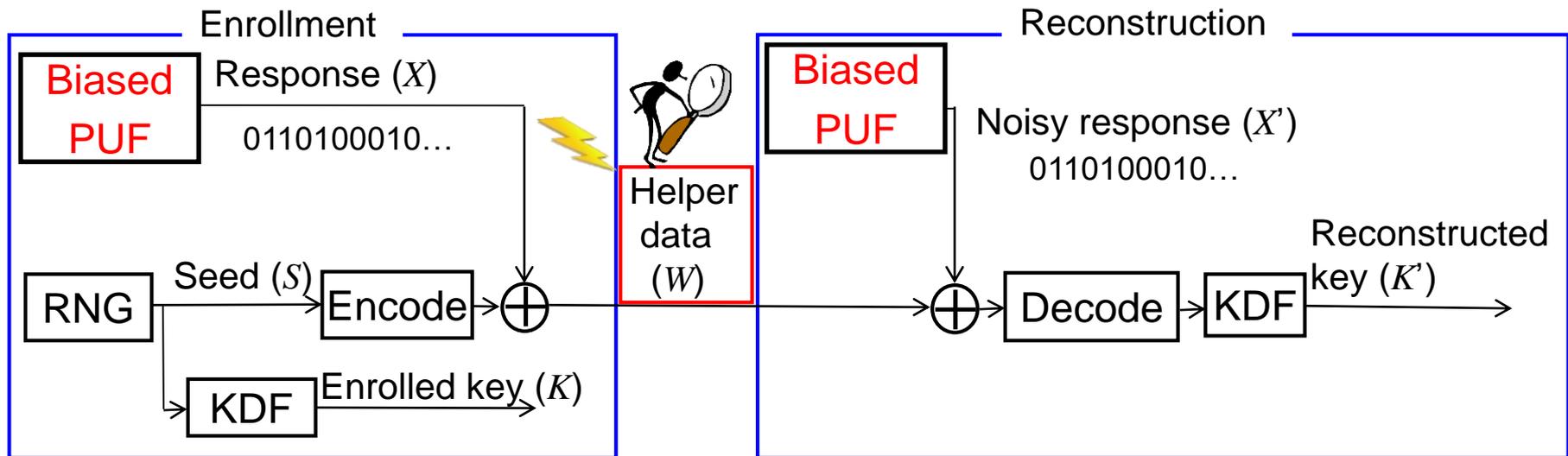
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# Unstable and biased PUF response

## PUF-based key generation with Fuzzy extractor (FE)



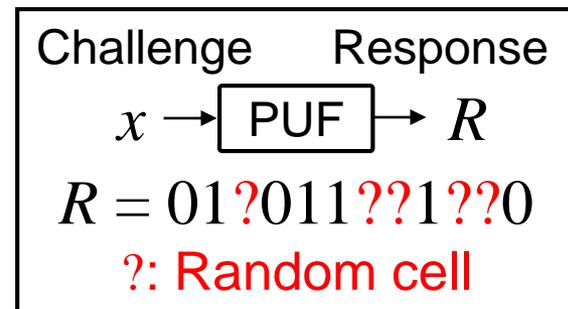
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# Extraction of PUF response

- Conventional methods for extracting **stable** and **uniform** response from **unstable** and **biased** PUFs

- **Multiple-valued response**

- Consider random (unstable) cell as stable cell to output third value
- Higher entropy than binary



- **Debiasing**

- Debiasing response would have full-entropy
- Applied to PUF response prior to FE

- Multiple-valued response cannot work with FE ☹️

- Conventional FEs can accept only **binary** inputs
- Limitation of application scenarios

# This work

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## Efficient extraction of stable and uniform response from unstable and biased PUFs

### ■ Key trick

- Multiple-valued debiasing

- Input: **multiple-valued** response

- Output: **binary** response that can be applied to FE

### ■ Results

- Proposed method can extract **36% longer** full-entropy response than conventional one

- Application to authentication with FE

- **100% successful authentication** even in some cases where conventional method fails

# Outline

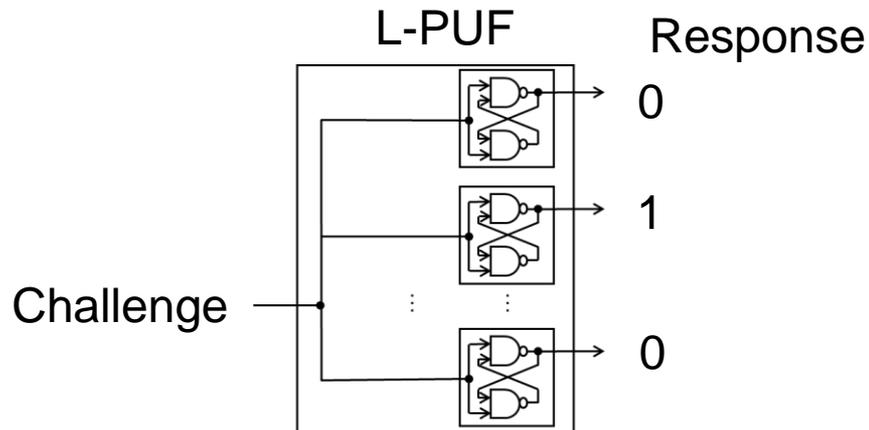
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- Preliminary and related works
  - Unstable/Biased PUF and conventional debiasing
- Proposed multiple-valued debiasing
- Performance evaluation
- Concluding remarks

# Unstable PUF

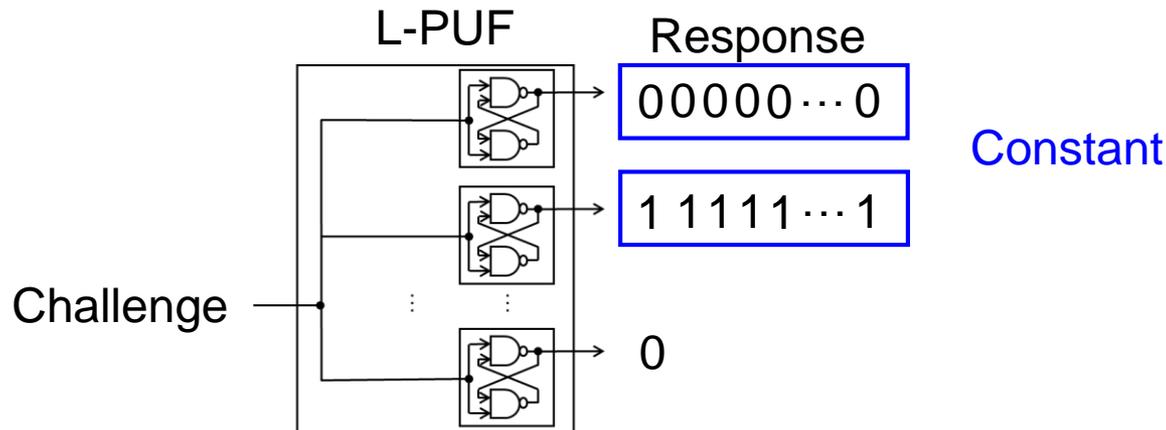
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- $n$ -bit PUF consists of  $n$  cells
  - Each cell outputs one-bit response at a measurement
- Two types of cells if same challenge is repeated
  - Constant cell: always 0 or always 1
  - **Random cell: 0 or 1 at random**
- Random cell is not preferable, because...
  - Cannot be used as response
  - reduce the stability of PUF response



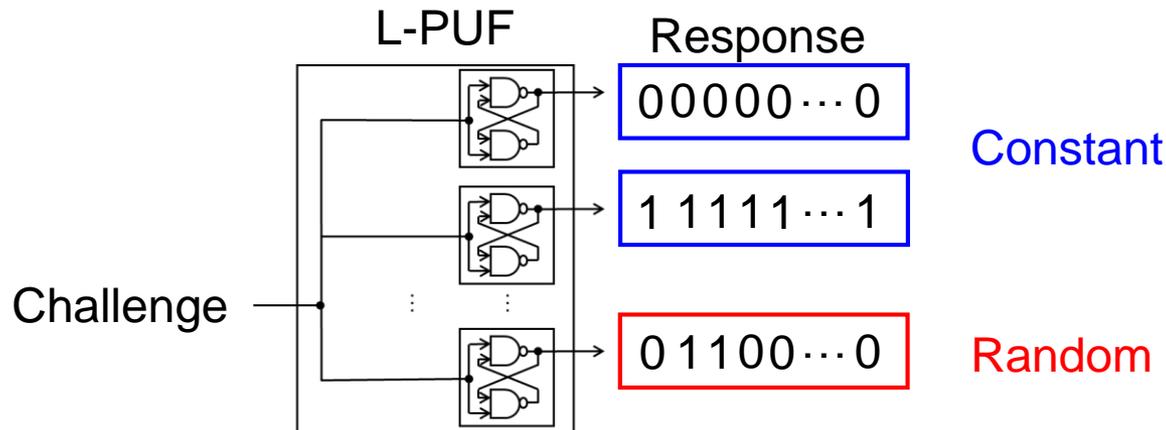
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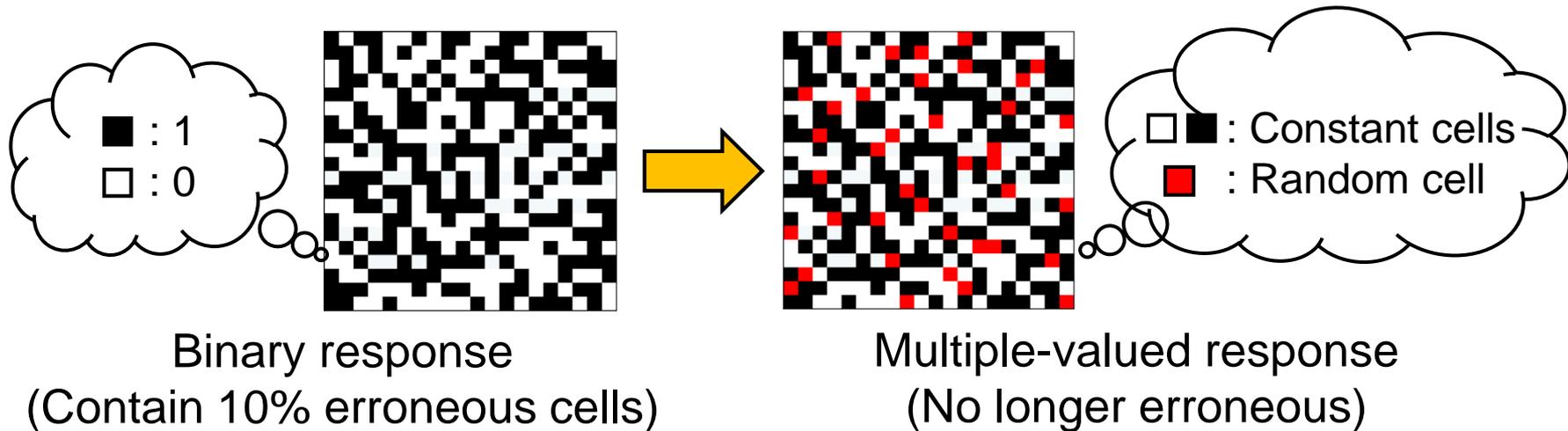
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# Use of random cell: multiple-valued response

- Detect random cell and consider it as **third value**



- How to assign “**third value**” to random cells

Type of cell		Assigned value
Constant	0	00
	1	11
Random		10

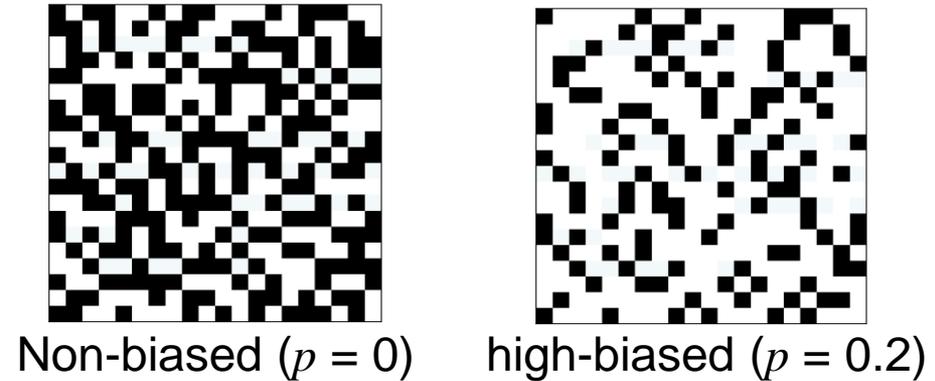
Ternary assignment by two bits [CHES11]

- Ternary response cannot work with conventional FEs

# Biased PUF

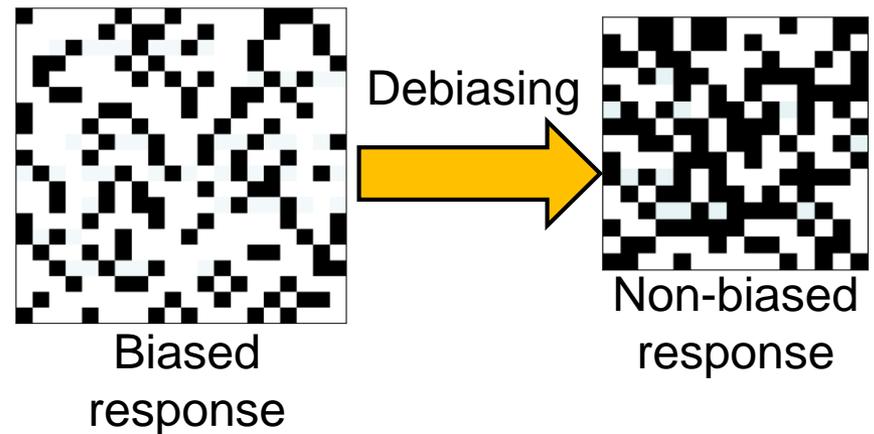
## ■ Bias has influence on secure key generation

- $p$ -biased PUF:  
 $|Pr(X_i = 0) - 0.5| = p$
- If bias is high, then entropy decreases
- Typical FEs require  
 $p < 0.082$



## ■ Debiasing

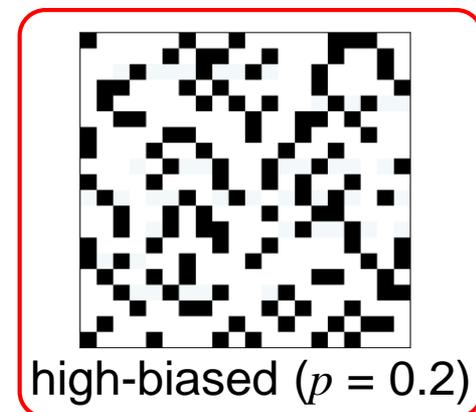
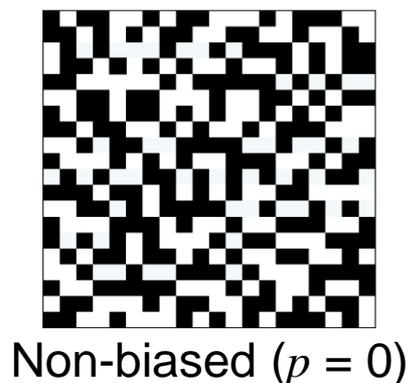
- Extract low-biased response from high-biased one
- Debaised response is shorter than original



# Biased PUF

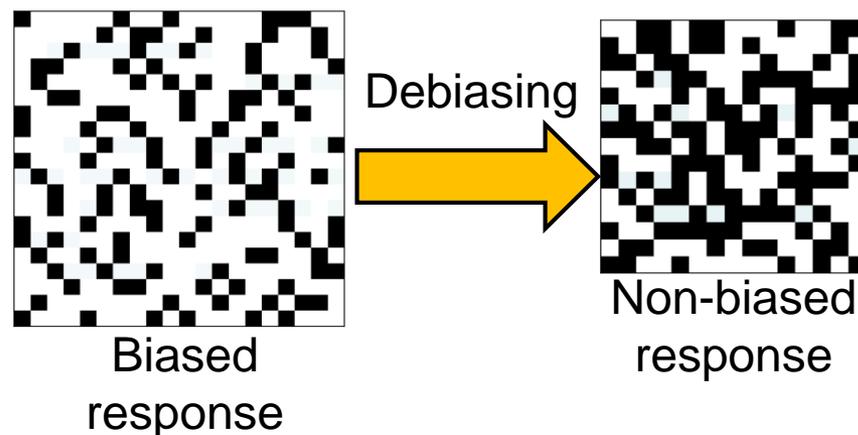
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## ■ Debiasing

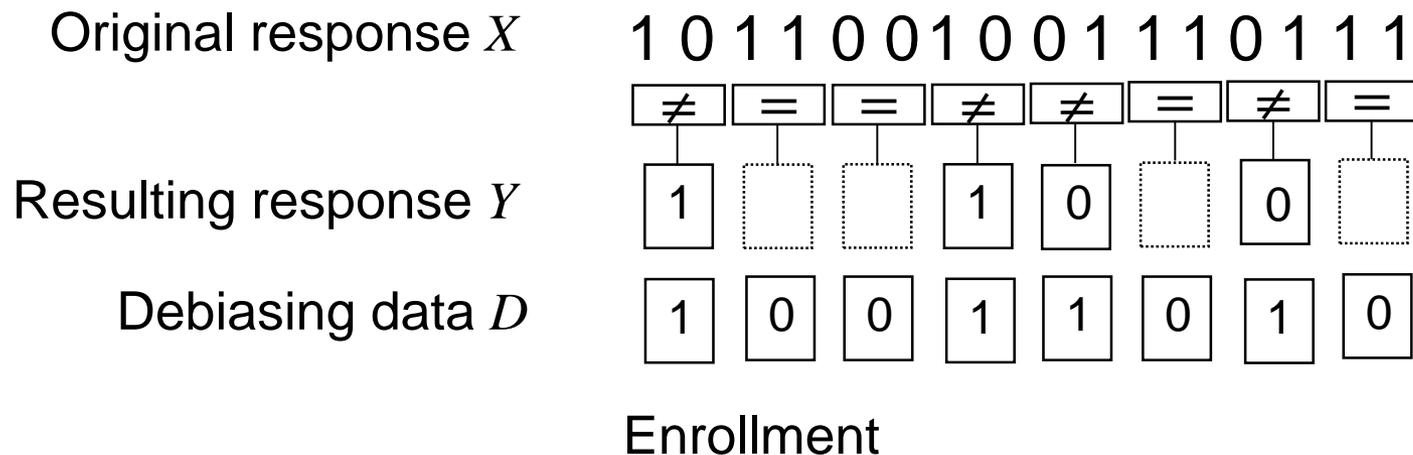
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# Conventional debiasing method

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- Classic deterministic randomness extractor (CDRE) proposed by von Neumann
  - Handle input bit string with a pair of two consecutive bits
  - (1, 0) and (0, 1) are assigned to 1 and 0, respectively
  - (0, 0) and (1, 1) are discarded
- Debiasing based on CDRE [CHES15]



# Debiasing based on CDRE

## ■ Enrollment

- Generate debiased response  $Y$  and debiasing data  $D$

## ■ Reconstruction

- Reconstructs noisy debiased response  $Y'$  based on  $D$

Enrollment			Reconstruction		
input	output		input	output	
$x_{2i}x_{2i+1}$	$y_i$	$d_i$	$x'_{2i}x'_{2i+1}$	$d_i$	$y'_i$
0 0	discard	0	0 -	1	0
0 1	0	1	1 -	1	1
1 0	1	1	- -	0	discard
1 1	discard	0			

$p_0, p_1$ : Occurrence probability of 0 and 1 in  $X$

Zeros and ones appear in  $Y$  with same probability  $p_0p_1$

$x_i$ :  $i$ th bit of  $X$      $y_i$ :  $i$ th bit of  $Y$   
 $d_i$ :  $i$ th bit of  $D$     - : Don't care

# Outline

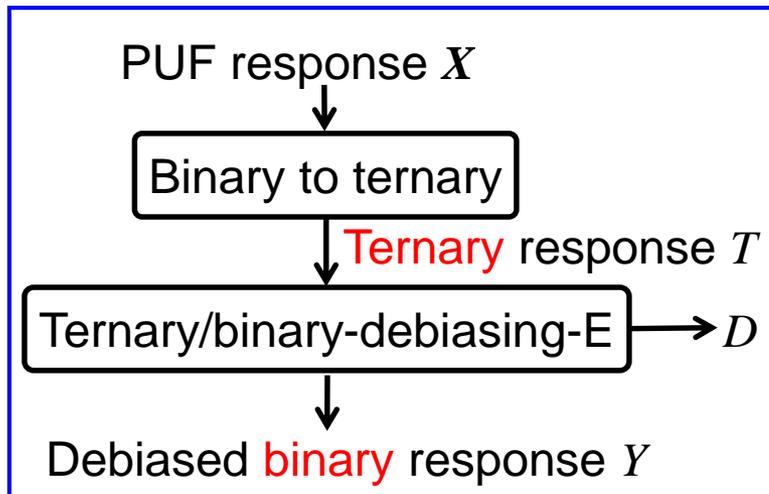
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- Preliminary and related works
- **Proposed multiple-valued debiasing**
- Performance evaluations
- Concluding remarks

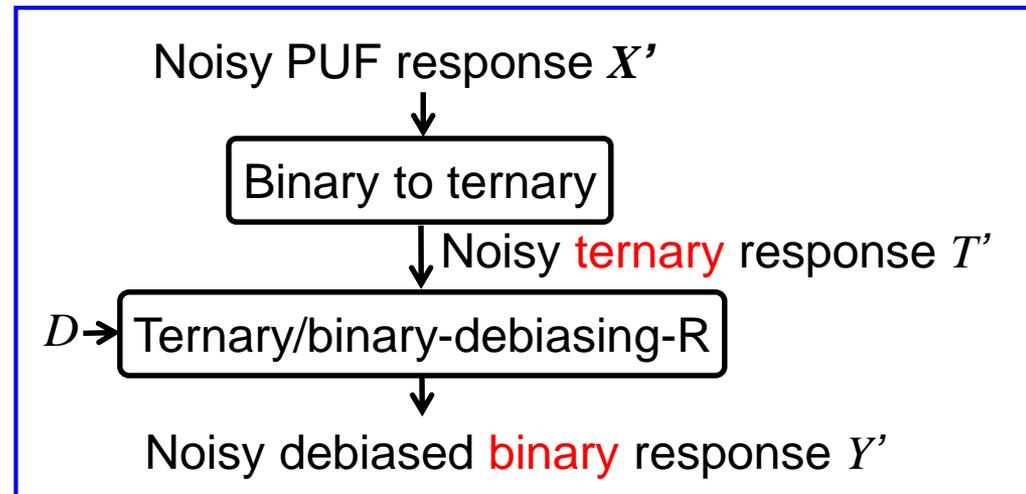
# Proposed debiasing method

- Input: **ternary** response
  - Ternary digit string with 0, 1, and  $r$  (random value)
- Output: **debaised binary** response

## Enrollment



## Reconstruction



- Conventional FEs can be used together with proposed debiasing method

# Proposed debiasing method

- Handle input with a pair of consecutive digits
- **Perform error correction** in reconstruction

Enrollment		
input	output	
$t_{2i} t_{2i+1}$	$y_i$	$d_i$
0 0	discard	0
1 1	discard	0
$r r$	discard	0
0 1	0	1
$r 1$	0	1
0 $r$	0	1
1 0	1	1
$r 0$	1	1
1 $r$	1	1

Reconstruction		
input	output	
$t'_{2i} t'_{2i+1}$	$d_i$	$y'_i$
0 -	1	0
1 -	1	1
$r r$	1	1
$r 0$	1	1
$r 1$	1	0
- -	0	discard

Both 0s and 1s appear by probability  $p_0 p_1 + p_0 p_r + p_1 p_r$  in resulting response

$p_0, p_1, p_r$  :  
Occurrence probability of constant cell (0 or 1) and random cell ( $r$ ) in  $X$

$t_i$ :  $i$ th bit of  $T$ ,     $y_i$ :  $i$ th bit of  $Y$   
 $d_i$ :  $i$ th bit of  $D$ ,    - : Don't care

# Error bits in reconstruction

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## ■ Error patterns of response bits in reconstruction

Binary response

1	0	1	1	...	0	1
2	0	1	0	...	0	1
3	0	1	1	...	1	1
⋮	⋮	⋮	⋮	⋮	⋮	⋮
$n$	0	1	0	...	0	1

Ternary response



Enrollment 0 1  $r$  ...  $r$  1

Reconstruction

# Error bits in reconstruction

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## ■ Error patterns of response bits in reconstruction

Binary response

1	0	1	1	...	0	1
2	0	1	0	...	0	1
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⋮	⋮	⋮	⋮	⋮	⋮	⋮
$n$	0	1	0	...	0	1

Ternary response



Enrollment 0 1  $r$  ...  $r$  1

Reconstruction 0 1  $r$  ... 0 1

# Error bits in reconstruction

## ■ Error patterns of response bits in reconstruction

Binary response

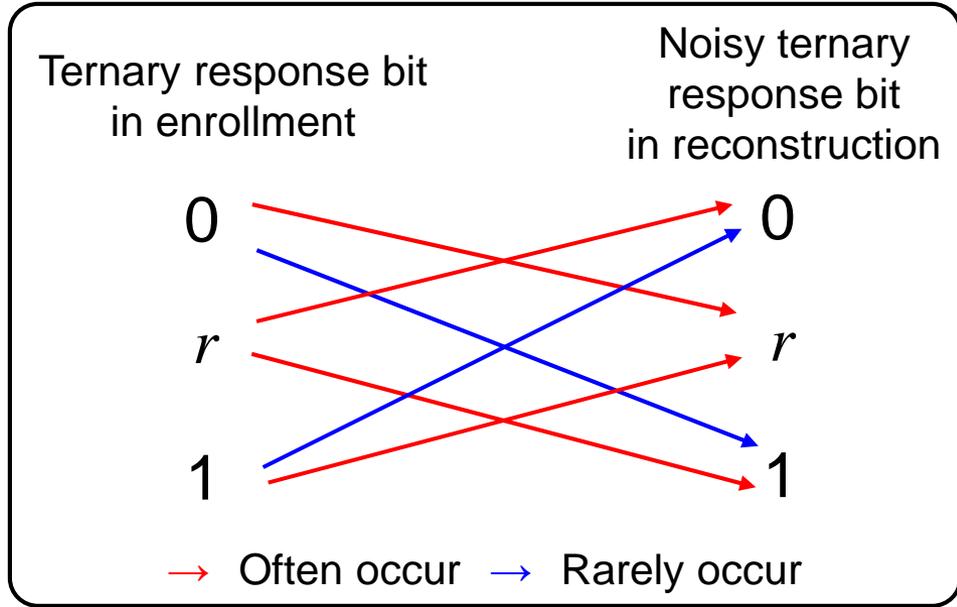
1	0	1	1	...	0	1
2	0	1	0	...	0	1
3	0	1	1	...	0	1
⋮	⋮	⋮	⋮	⋮	⋮	⋮
$n$	0	1	0	...	0	1

Ternary response



Enrollment 0 1  $r$  ...  $r$  1

Reconstruction 0 1  $r$  ... 0 1

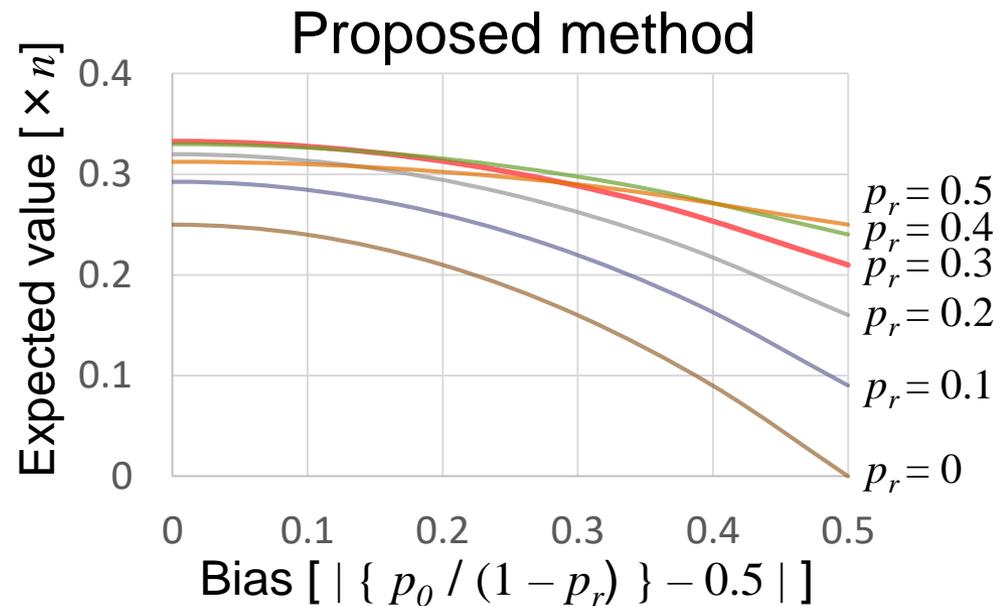
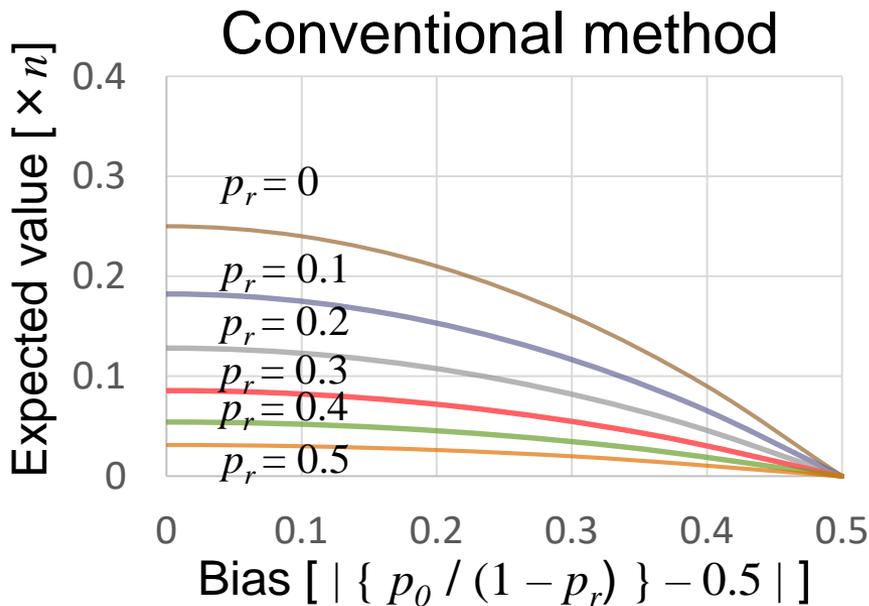


Proposed method is considered as error correction using a code  $\{(0, 1), (1, 0)\}$  with erasure symbol  $r$

# Expected entropy after debiasing

- $E_{Conv} = np_0p_1(1-p_r)$

- $E_{Proposal} = n(p_0p_1 + p_0p_r + p_1p_r)$



- Random cells contribute to entropy in proposed method

# Outline

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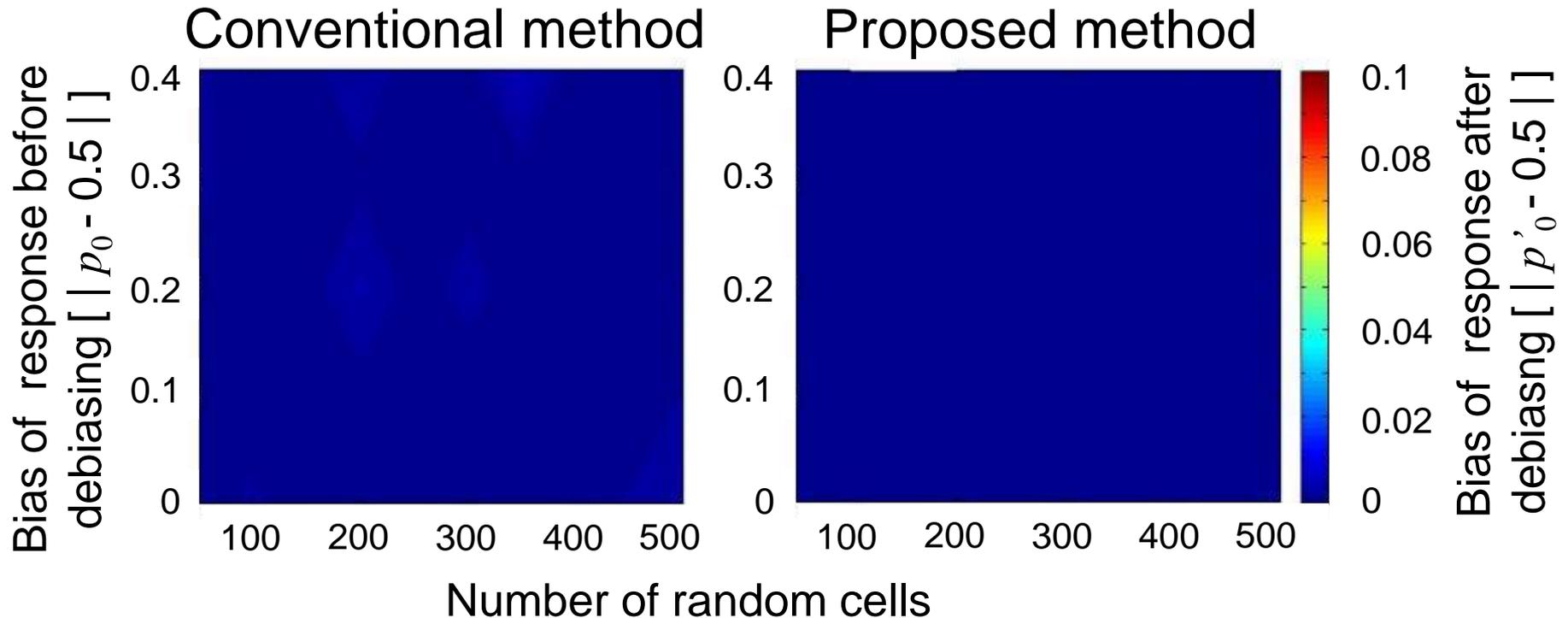
- Background
- Related works
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# Experimental simulation

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- Evaluate resulting bias and response length
- Generate ternary responses by simulation
  - Length of ternary response: 1,024
  - With different bias and number of random cells
    - Bias range from 0 to 0.5
    - Number of random cells from 50 to 500
  - Number of responses for each parameter: 1,000

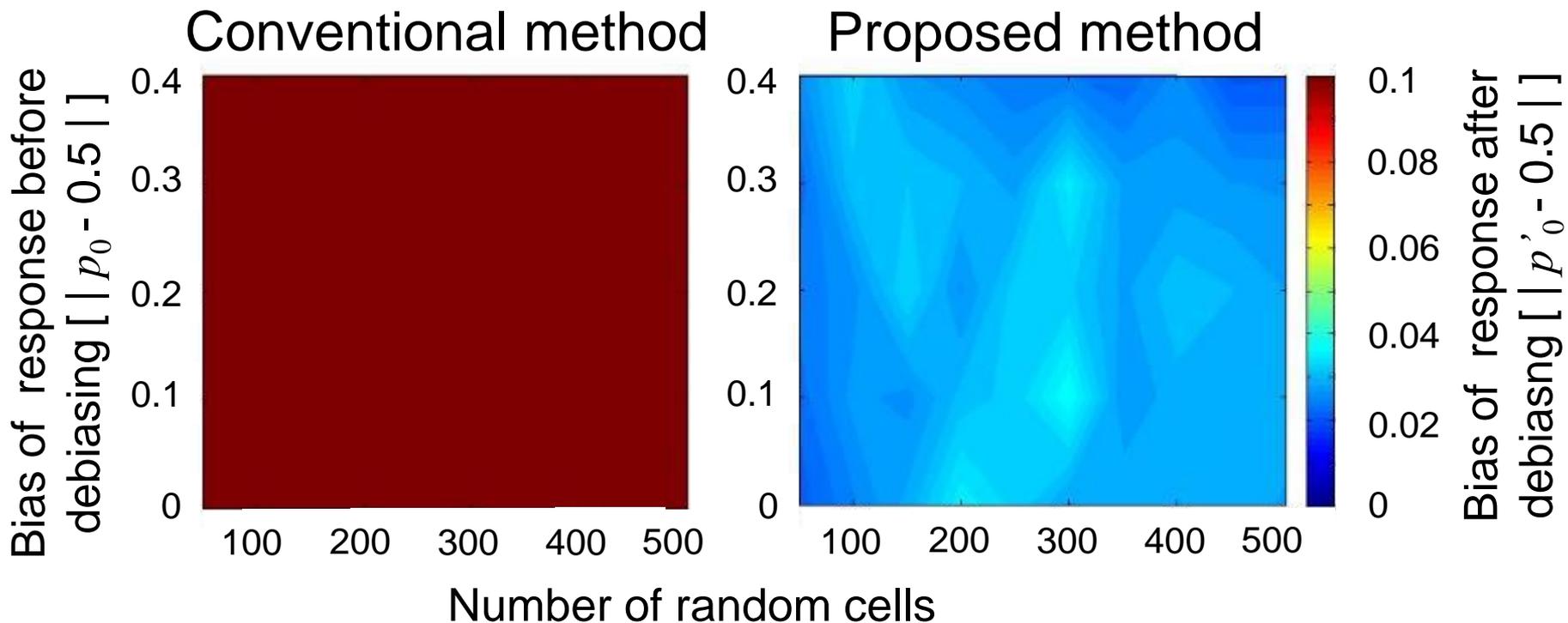
# Average bias of resulting response



Condition for secure key generation  
with a typical FE:  $|p'_0 - 0.5| < 0.082$

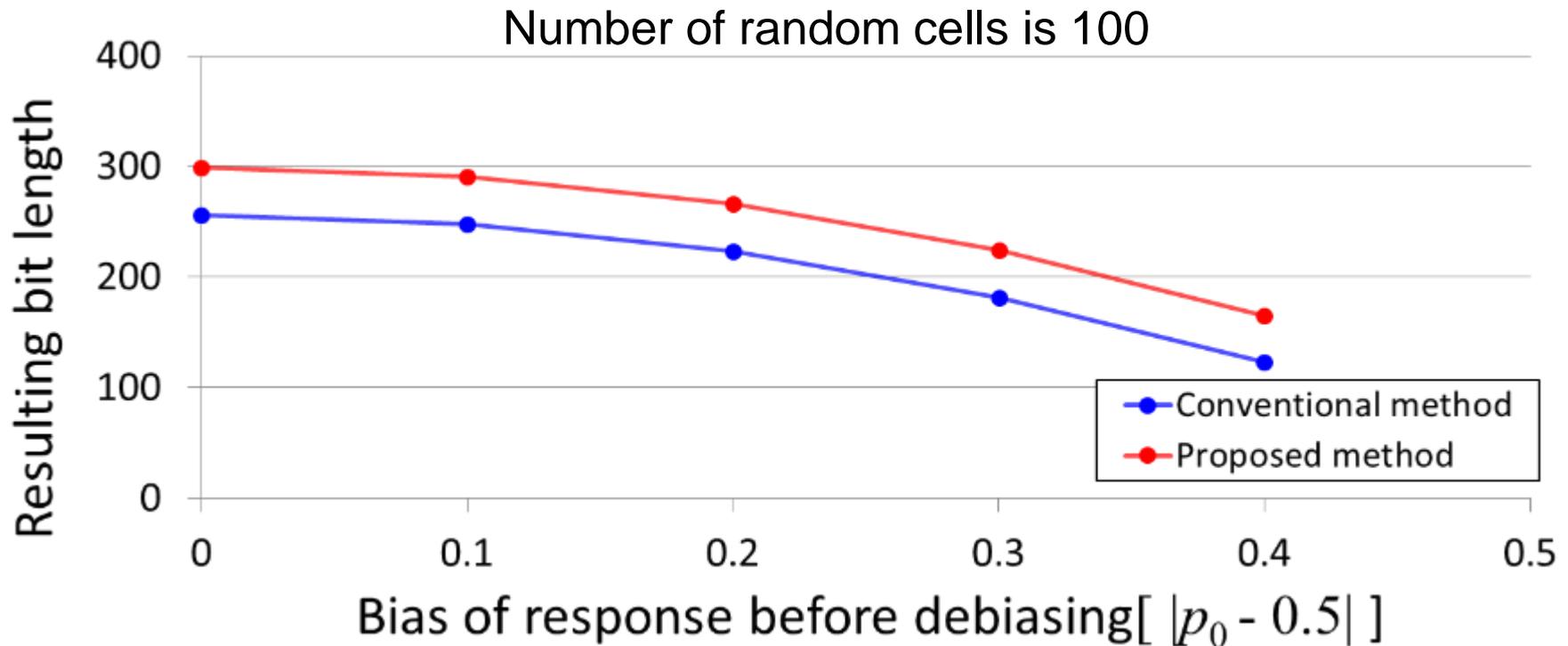
- Both responses on average satisfied the condition

# Worst-case bias of resulting response



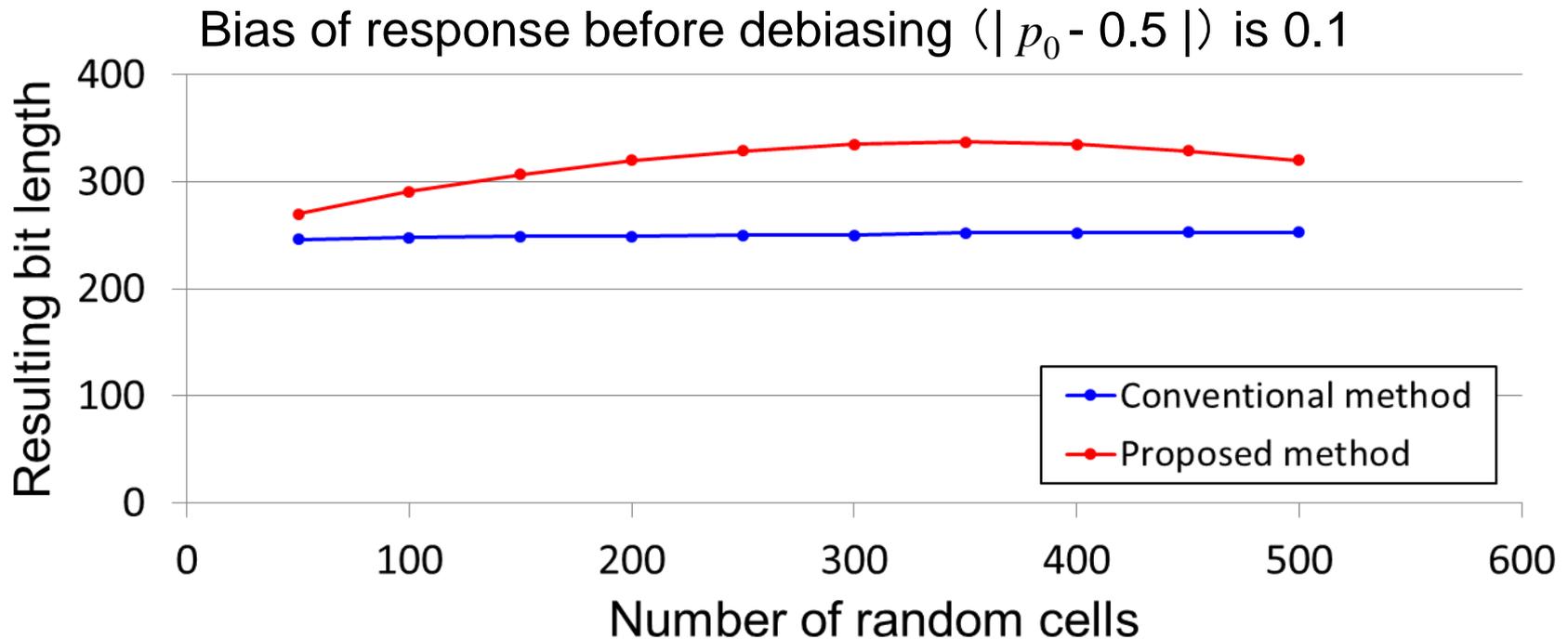
- Responses extracted by proposed method satisfied the condition even in worst-case
  - Use of ternary response increases entropy of response

# Resulting bit length for different biases



- High-bias results in short response in both methods
- Proposed method obtained **22% longer** bit length than conventional method
  - Use of ternary response can extract high entropy

# Resulting bit length for different # of random cells

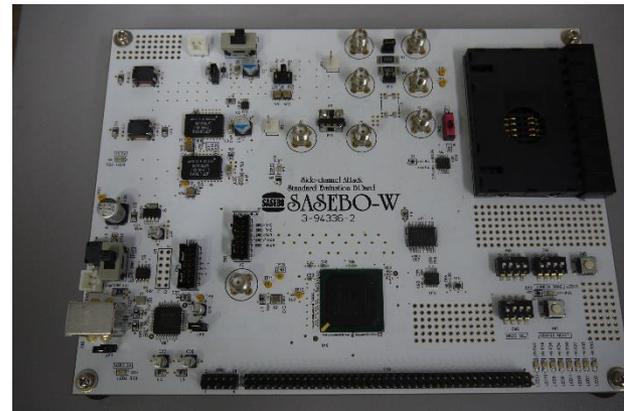
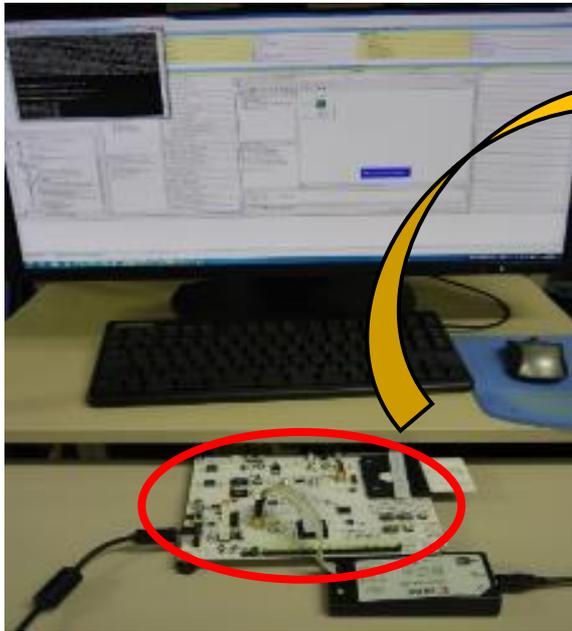


- Proposed method extracted longest bit length when the number of random cells was 300-400
  - Entropy of ternary response is largest when number of random cells is one-third of all cells

# Experiment with FPGA implementation

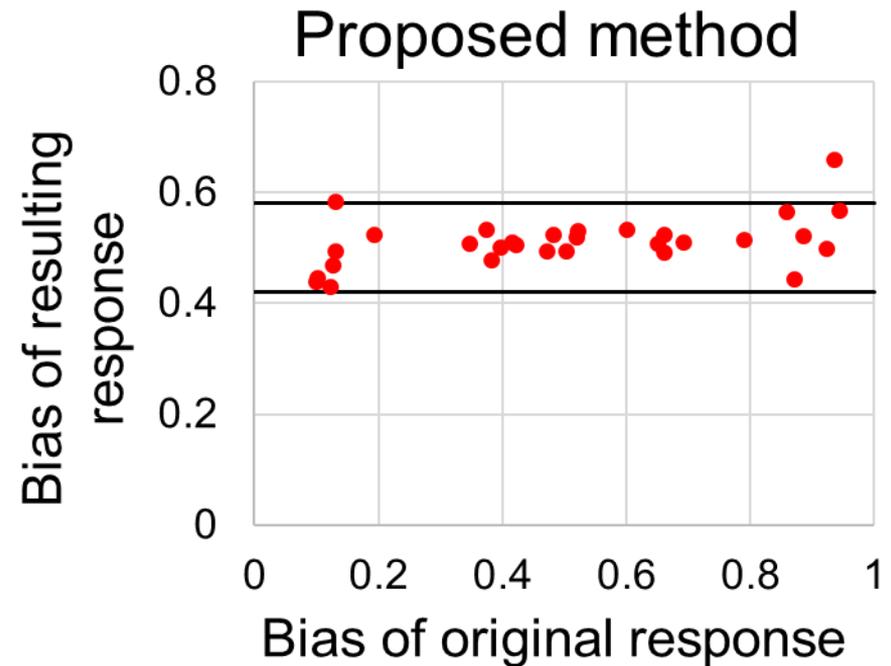
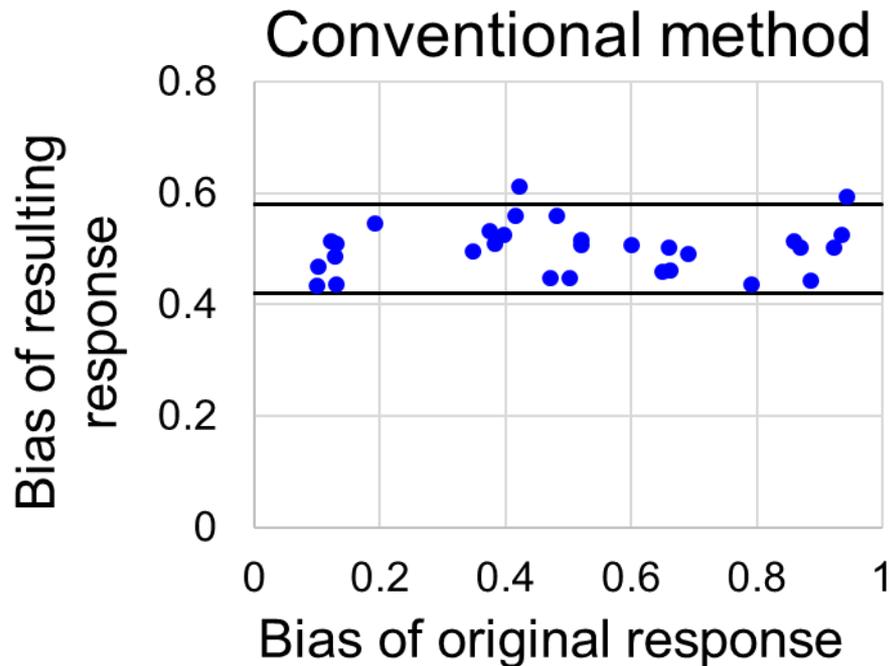
## ■ Implement Latch-PUF on FPGA

- Using 3 FPGAs (Xilinx Spartan 6)
  - Implemented at 10 different locations
  - Response bit length: 1,024
  - Number of challenges to detect random cells: 256
- } 30 L-PUFs



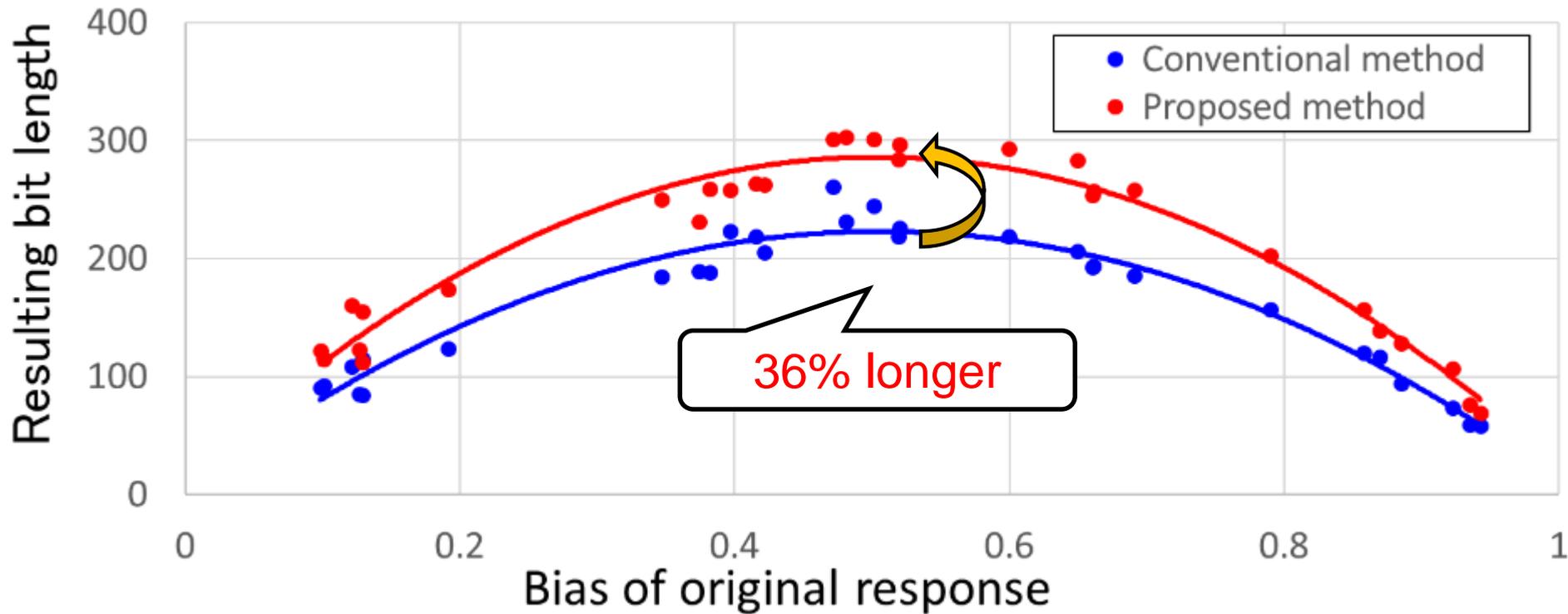
Xilinx Spartan 6

# Biases of resulting responses



- Both methods reduced biases significantly
  - Percentage of random cells was ~10% in the experiment

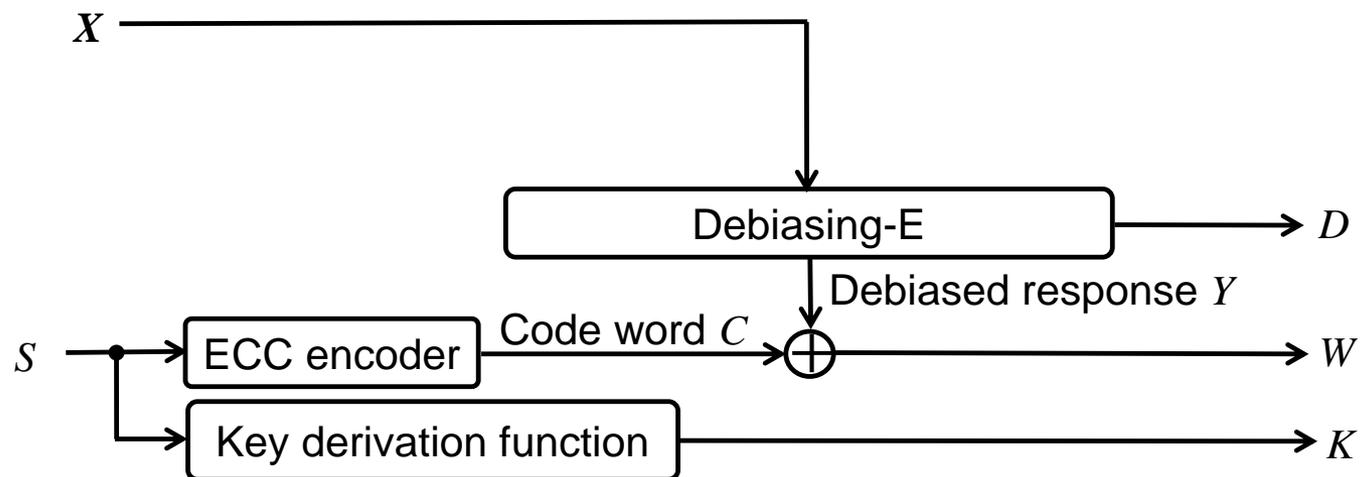
# Resulting bit length for original biases



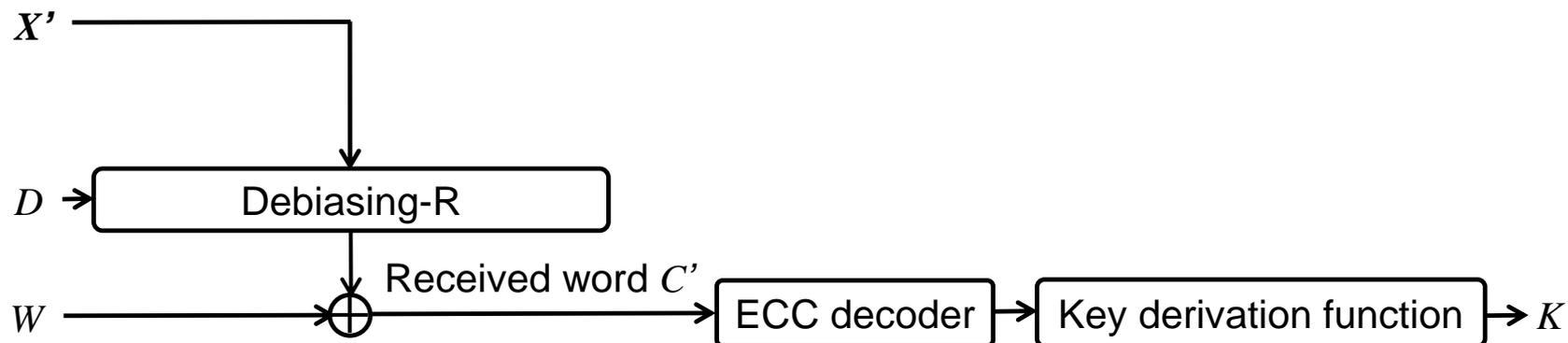
- High bias decreases resulting bit lengths for both methods as the same as in simulation
- Proposed method could extract larger bit length

# FE using proposed debiasing method

## ■ Enrollment

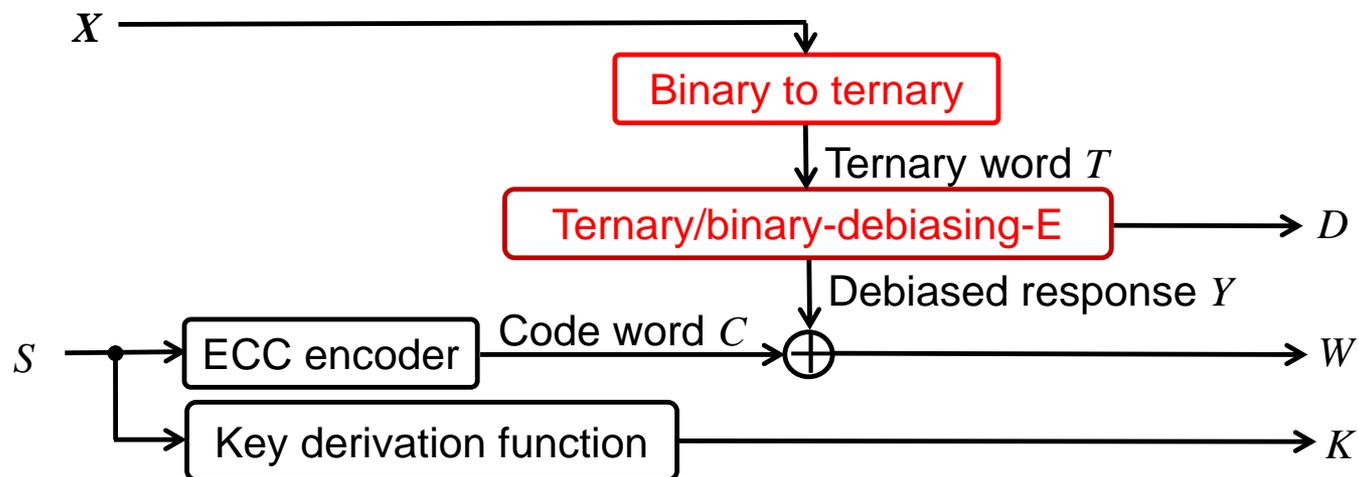


## ■ Reconstruction

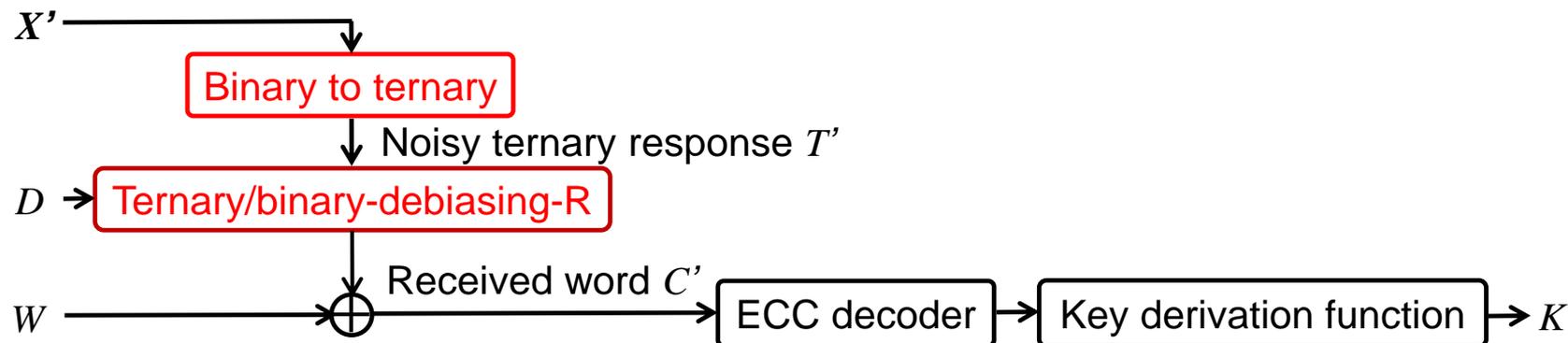


# FE using proposed debiasing method

## ■ Enrollment



## ■ Reconstruction



# Performance evaluation for FEs

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- Evaluation of FEs with simulated PUF responses
  - Comparison of authentication failure rate and efficiency

Efficiency = debiased bit length / original PUF response length

- Simulated response based on L-PUF implemented on FPGA
- ECC in FE: connected code
  - (24,12) Golay code and (8,1) repetition code

# Comparison of debiasing results by FEs

Bias	Random cell	Conventional method		Proposed method	
		$P_{\text{fail}}$	Efficiency	$P_{\text{fail}}$	Efficiency
0.1	0.1	0	0.236	0	0.286
	0.2	0	0.237	0	0.312
	0.3	0.013	0.243	0	0.328
0.3	0.1	0	0.172	0	0.220
	0.2	0.002	0.184	0	0.264
	0.3	0.240	0.195	0	0.287

10,000 challenges

- $P_{\text{fail}} = 0$  under experimental conditions
  - Thanks to high stability of multiple-valued response
  - Proposed method does not require strong ECC in FE

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10,000 challenges

- $P_{\text{fail}} = 0$  under experimental conditions
  - Thanks to high stability of multiple-valued response
  - Proposed method does not require strong ECC in FE
- Our method achieved **21-47% higher** efficiency
  - Efficiency is high when more random cells appear

# Concluding remarks

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- Multiple-valued response extraction can be used with key generation based on FE
  - Improved stability and longer full-entropy response
    - Even in worst-case bias, our method satisfied the condition to generate secret information securely
    - 36% longer full-entropy than conventional binary debiasing in an experiment
- Future works
  - ECC design taking advantage of proposed method
  - Further evaluation using other types of PUFs